

05-02-05

AFW

April 29, 2005

Commissioner For Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Re: Applicant(s): Vargas, Jaime; et. al.
Assignee: Cardica, Inc.
Title: Superelastic Anastomosis Device
Serial No.: 10/810,170
Examiner: Michael G. Mendoza
Docket No.: 152
Filed: March 26, 2004
Group Art Unit: 3765

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

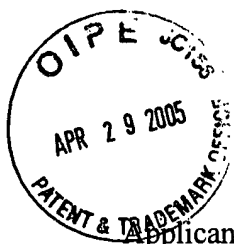
- (1) This Transmittal Letter;
- (2) Information Disclosure Statement;
- (3) Form PTO-1449 and 2 references; and
- (4) Return postcard.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

EXPRESS MAIL SERIAL NO.:
EV430389832US

Respectfully submitted,

Brian A. Schar, Esq.
Reg. No. 45,076
Cardica, Inc.
900 Saginaw Drive
Redwood City, CA 94063



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Yencho, Stephen; et. al.
Assignee: Cardica, Inc.
Title: Superelastic Anastomosis Device
Serial No.: 10/810,170 Filing Date: March 26, 2004
Examiner: Not yet assigned Group Art Unit: 3765
Docket No.: 152

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

In compliance with the Applicant's duty under 37 CFR § 1.56, the information in the accompanying form PTO-1449 is brought to the attention of the Examiner.

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), and thus no petition or fee is required.

Respectfully submitted,

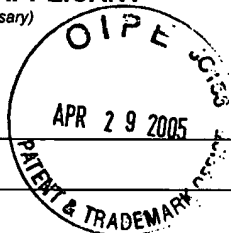
Brian A. Schar
Reg. No. 45,076
Cardica, Inc.
900 Saginaw Drive
Redwood City, CA 94063

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)



Complete if Known

| | |
|-----------------------------|-----------------|
| Application Number | 10/810,170 |
| Filing Date | March 26, 2004 |
| First Named Inventor | Yencho, Stephen |
| Group Art Unit | 3765 |
| Examiner Name | Unknown |

Sheet 1 of 1

Attorney Docket No: 152

OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T ² |
|-----------------------|--------------------------|---|----------------|
| | | "Cardica PAS-Port Proximal Anastomosis System 510(k)", Section VI.C., "Substantial Equivalence", and Attachment 7 (Unpublished) | |
| | | Sales training brochure entitled "CorLink Automated Anastomosis Device" (2002) | |
| | | | |

EXAMINER**DATE CONSIDERED**

Substitute Disclosure Statement Form (PTO-1449)

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.¹ Applicant's unique citation designation number (optional) ² Applicant is to place a check mark here if English language Translation is attached